

---

# Computer Graphics

## 3 - Transformations

Yoonsang Lee  
Hanyang University

Spring 2025

# Outline

---

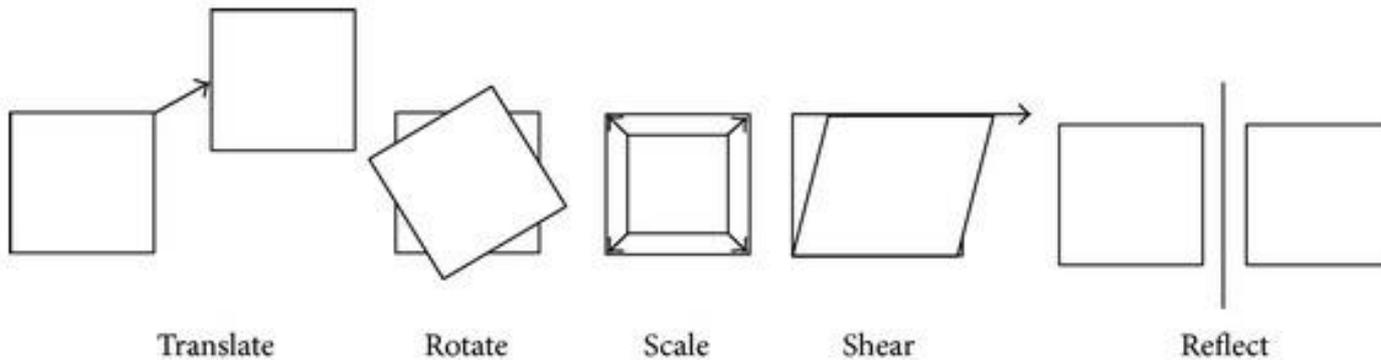
- 2D Transformations
  - Scaling, Rotation, Shearing, Reflection
  - Translation
- Classes of Transformations
- Composition of Transformations & Homogeneous Coordinates
- Two Types of 3D Cartesian Coordinate System
- 3D Affine Transformations

---

# **2D Transformations**

# What is Transformation?

- **Geometric Transformation**
  - The process of changing the position, orientation, size, or shape of a geometric object using mathematical operations.  
→ “Moving a set of points”
  - Essential in computer graphics as it allows the creation of complex scenes and animations.
- Examples:

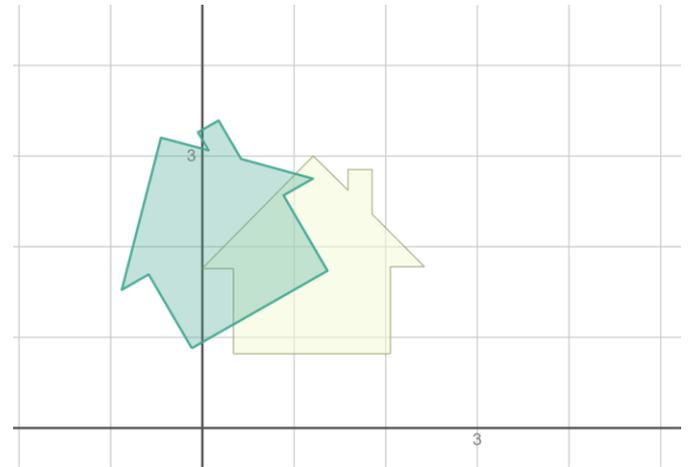
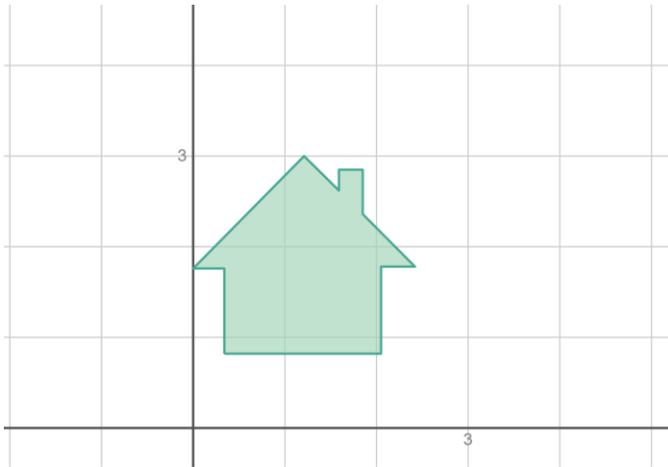


\* This image is from the slides of Prof. Roger D. Eastman (University of Maryland):  
<https://www.cs.umd.edu/~reastman/slides/L05P1Transformations.pdf>

# Transformation

- “Moving a set of points”
  - Transformation  $T$  maps any input vector  $\mathbf{v}$  in the vector space  $S$  to  $T(\mathbf{v})$ .

$$S \rightarrow \{T(\mathbf{v}) \mid \mathbf{v} \in S\}$$



# Linear Transformation

- One way to define a transformation is by matrix multiplication:

$$T(\mathbf{v}) = M\mathbf{v}$$

- This is called a **linear transformation** because a matrix multiplication represents a linear mapping.

- A linear transformation must satisfy:

$$T(\mathbf{v}_1 + \mathbf{v}_2) = T(\mathbf{v}_1) + T(\mathbf{v}_2), \quad T(c\mathbf{v}) = cT(\mathbf{v})$$

- A matrix multiplication satisfies them:

$$M(\mathbf{v}_1 + \mathbf{v}_2) = M\mathbf{v}_1 + M\mathbf{v}_2, \quad M(c\mathbf{v}) = c(M\mathbf{v})$$

# 2D Linear Transformations

---

- 2x2 matrices represent 2D linear transformations such as:
  - uniform scaling
  - non-uniform scaling
  - rotation
  - shearing
  - reflection

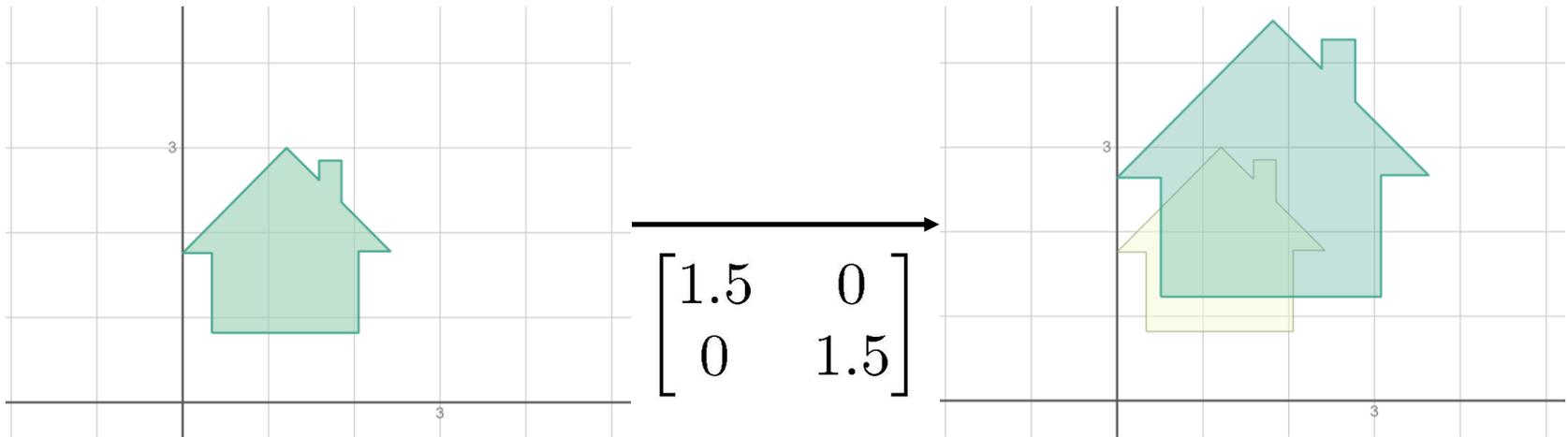
# 2D Linear Trans. – Uniform Scaling

- Uniformly shrinks or enlarges both in x and y directions.

2x2 scale matrix  $S$

$$\begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} sx \\ sy \end{bmatrix}$$

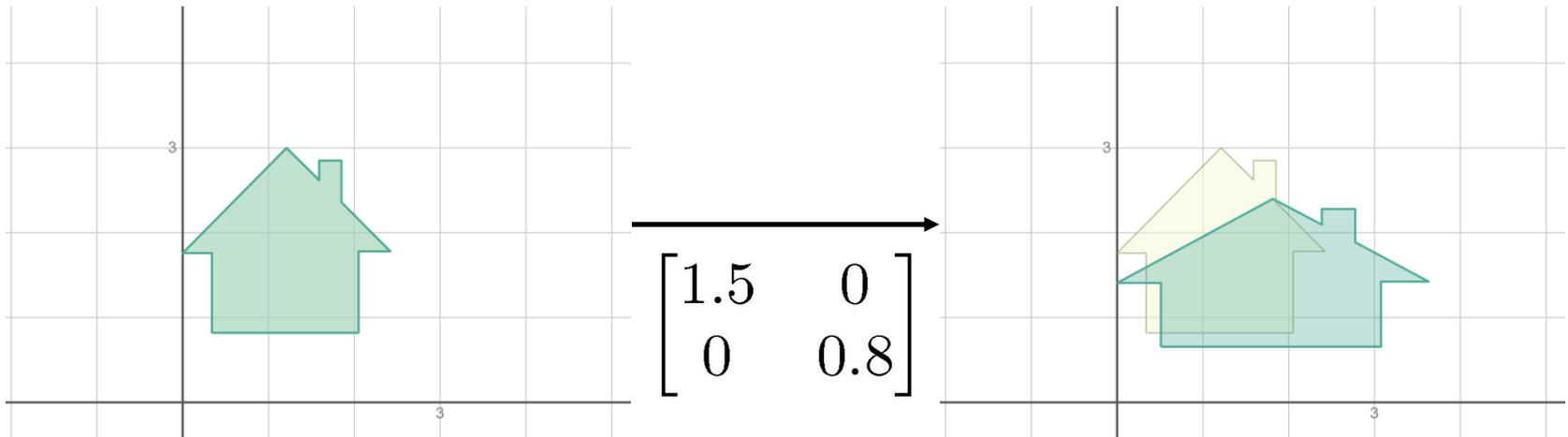
$p = p'$



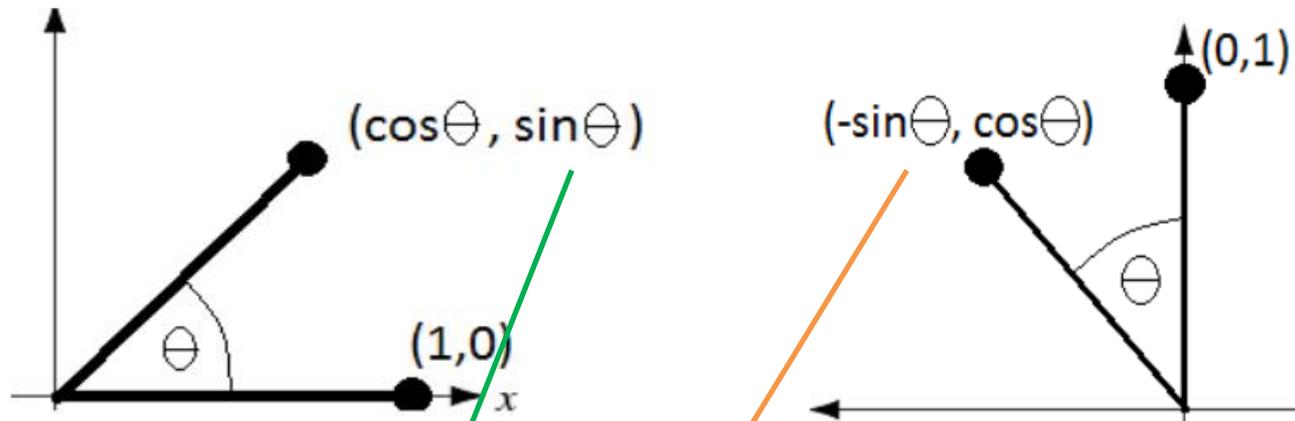
# 2D Linear Trans. – Nonuniform Scaling

- Non-uniformly shrinks or enlarges in x and y directions.

$$\begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} s_x x \\ s_y y \end{bmatrix}$$



# 2D Linear Trans. – Rotation



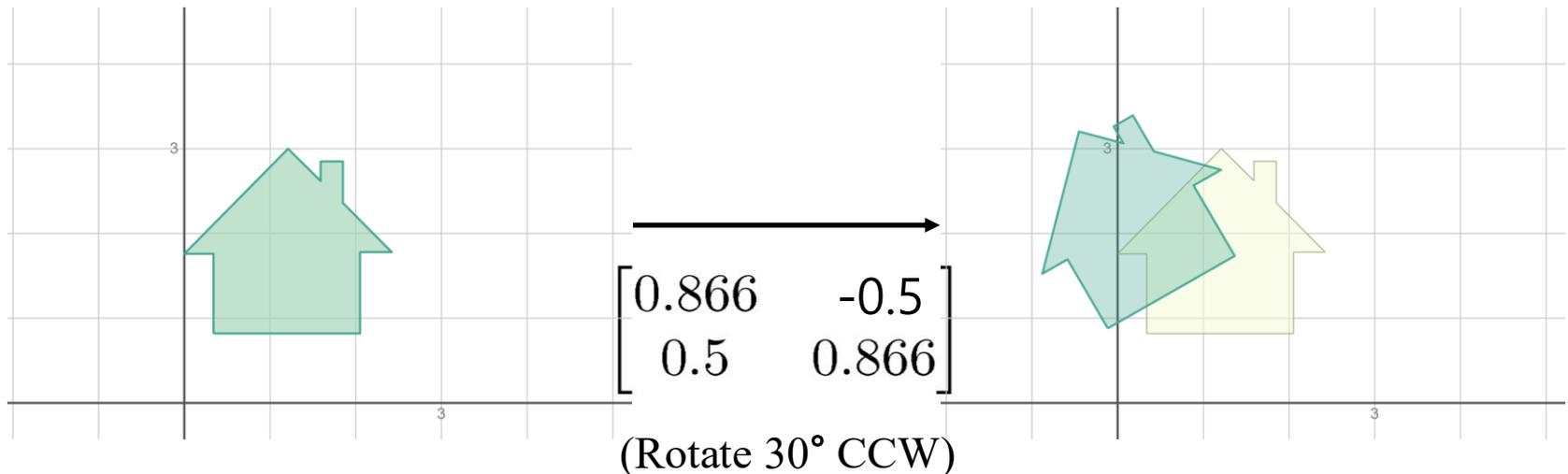
$$\Rightarrow R_{\theta} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

: Rotation matrix

# 2D Linear Trans. – Rotation

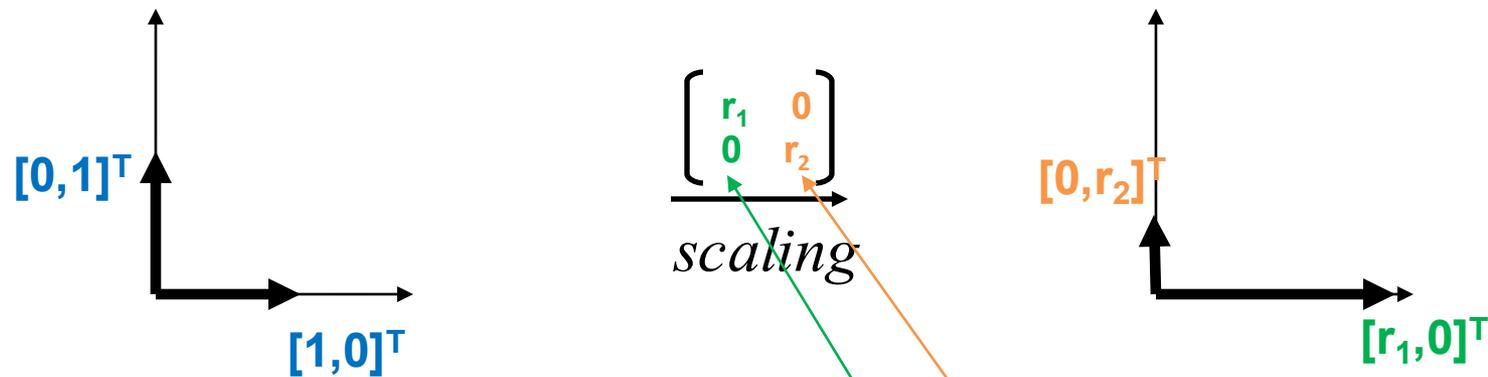
- Rotation can be written in matrix multiplication, so it's also a linear transformation.
  - Note that positive angle means CCW rotation.

$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \cos \theta - y \sin \theta \\ x \sin \theta + y \cos \theta \end{bmatrix}$$



# Numbers in Matrices: Scaling, Rotation

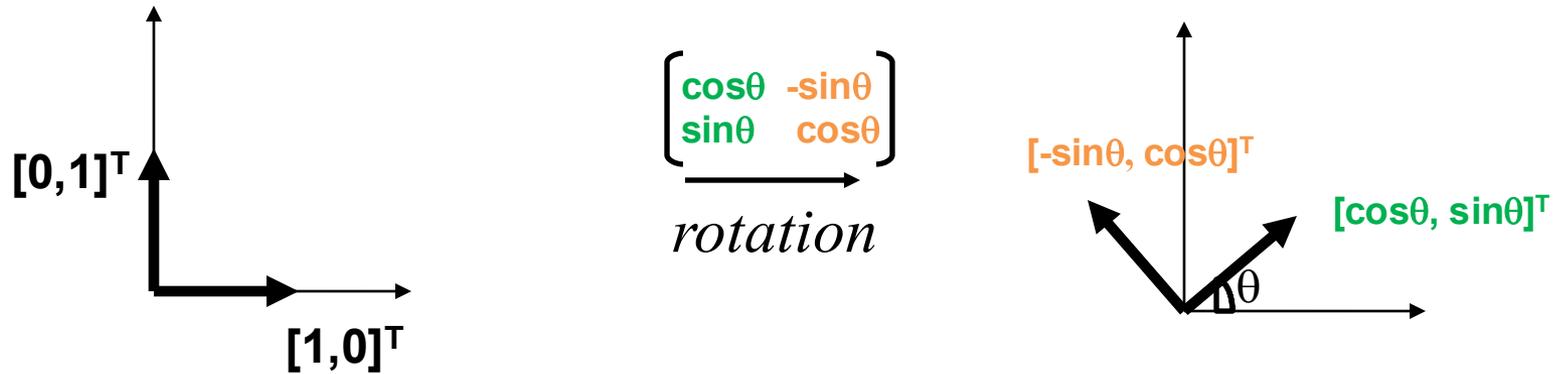
- Let's think about what the numbers in the matrix means.



*Canonical basis vectors:* unit vectors pointing in the direction of the axes of a Cartesian coordinate system.

1<sup>st</sup> & 2<sup>nd</sup> basis vector of the transformed coordinates

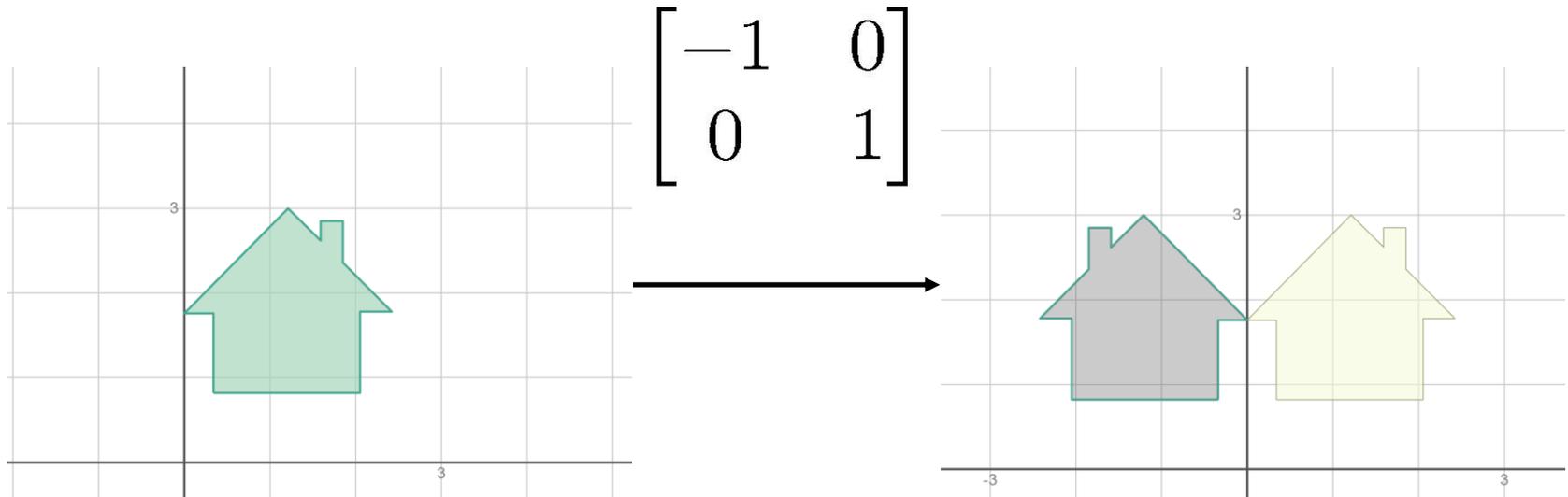
# Numbers in Matrices: Scaling, Rotation



- *Column vectors* of a matrix is the *basis vectors* of the *column space* of the matrix.
  - *Column space*: The span (a set of all possible linear combinations) of the column vectors.

# 2D Linear Trans. – Reflection

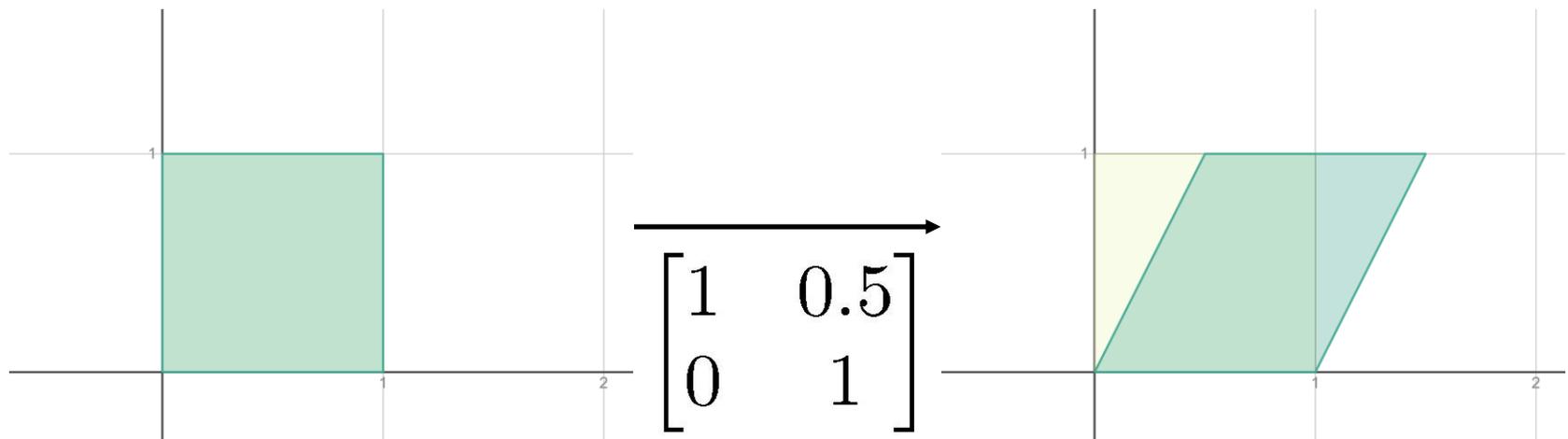
- Reflection can be considered as a special case of non-uniform scale.



# 2D Linear Trans. – Shearing

- "Push things sideways"

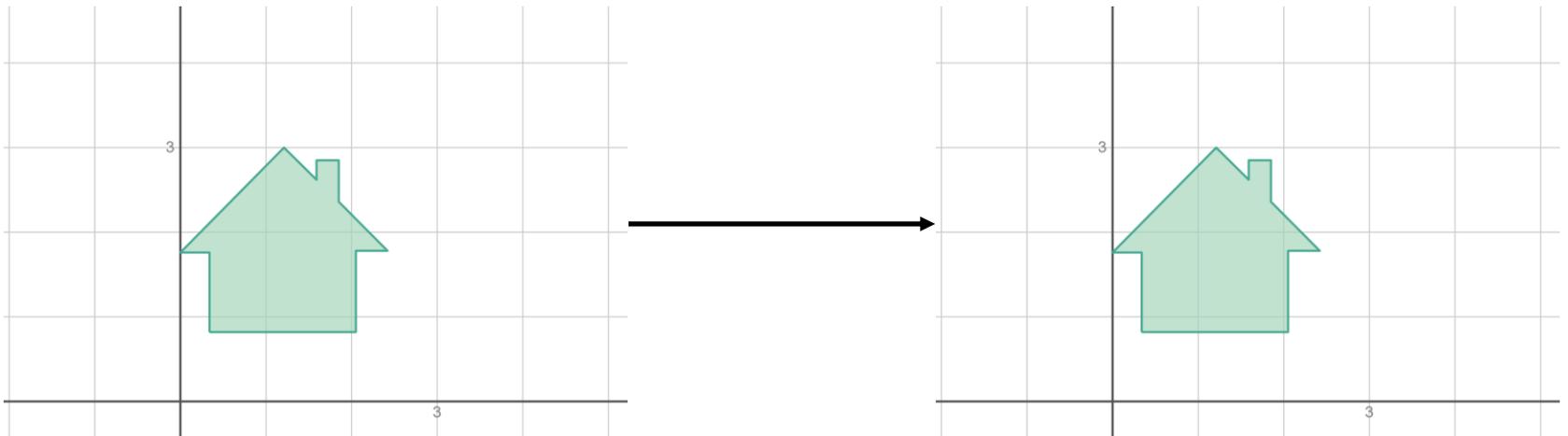
$$\begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x + ay \\ y \end{bmatrix}$$



# Identity Matrix

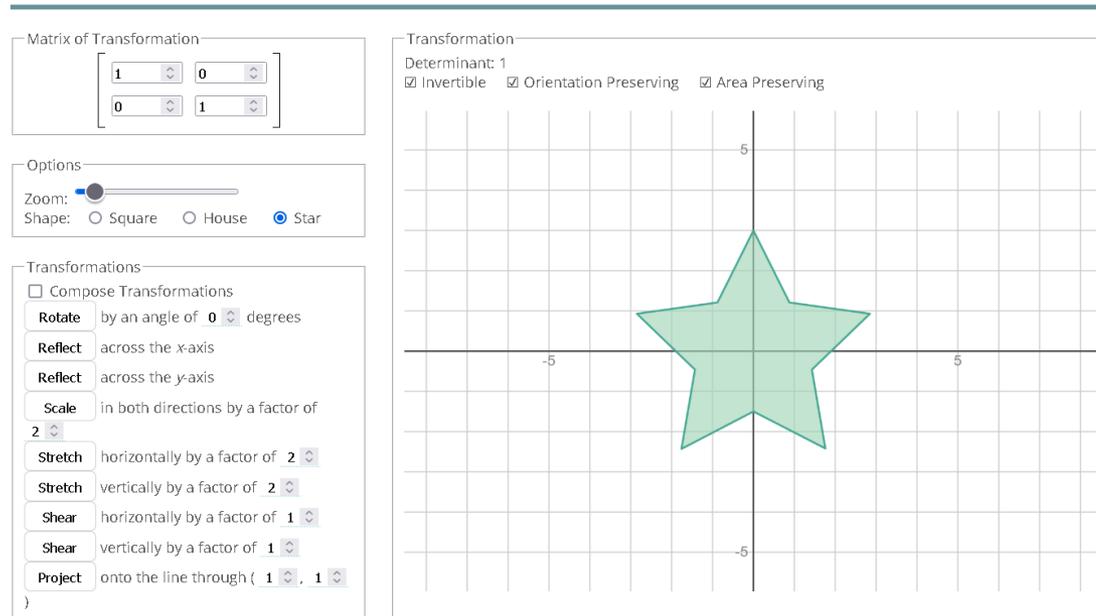
- "Doing nothing"

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix}$$



# [Demo] 2D Linear Transformations

## Linear Transformations



<https://www.integral-domain.org/lwilliams/Applets/algebra/linearTransformations.php>

- Try changing the values of matrix elements.
- Try pressing the transformation buttons.

# Quiz 1

---

- Go to <https://www.slido.com/>
- Join #cg-ys
- Click "Polls"
  
- Submit your answer in the following format:
  - **Student ID: Your answer**
  - e.g. **2021123456: 4.0**
  
- Note that your quiz answer must be submitted **in the above format** to be counted as attendance.

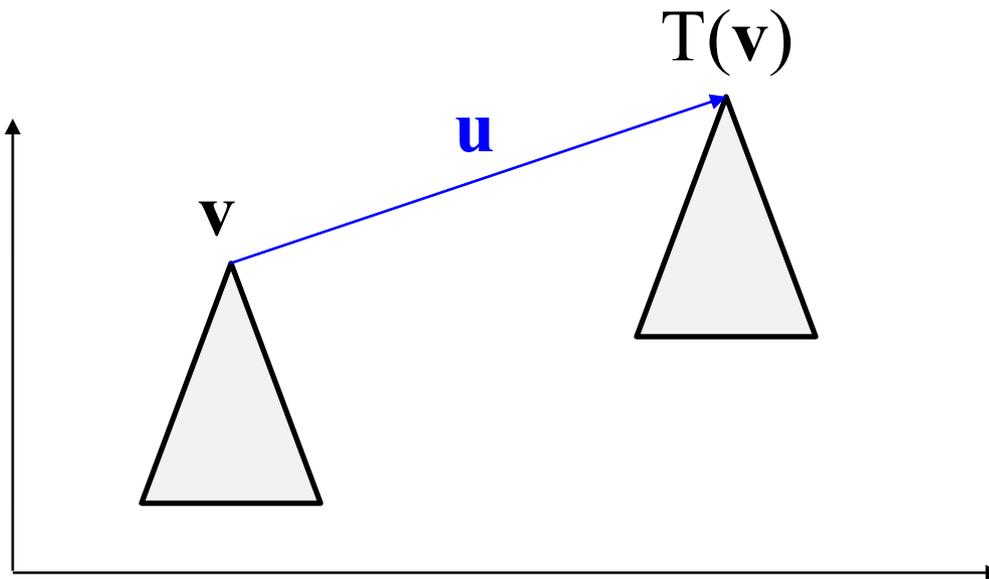
# 2D Translation

- Translation is the simplest transformation:

$$T(\mathbf{v}) = \mathbf{v} + \mathbf{u}$$

- Inverse:

$$T^{-1}(\mathbf{v}) = \mathbf{v} - \mathbf{u}$$



# Is translation linear transformation?

- No.  $T(c\mathbf{v}) = c\mathbf{v} + \mathbf{b} \not\equiv cT(\mathbf{v}) = c(\mathbf{v} + \mathbf{b}) = c\mathbf{v} + c\mathbf{b}$

- We can express translation using vector addition:

$$T(\mathbf{v}) = \mathbf{v} + \mathbf{u}$$

- Combining with linear transformation:

$$T(\mathbf{v}) = M\mathbf{v} + \mathbf{u}$$

- **→ Affine transformation**

# Let's check again

---

- Linear transformation
  - Scaling, rotation, reflection, shearing
  - Represented as matrix multiplications

$$T(\mathbf{v}) = M\mathbf{v}$$

- Translation
  - Not a linear transformation
  - Can be expressed using vector addition

$$T(\mathbf{v}) = \mathbf{v} + \mathbf{u}$$

- Affine transformation
  - Combination of linear transformation and translation

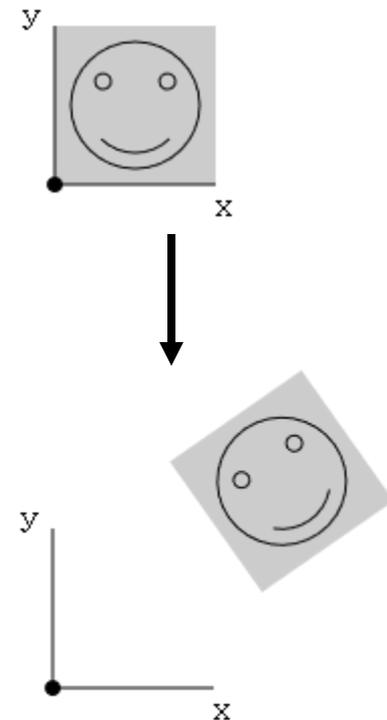
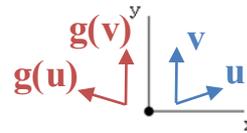
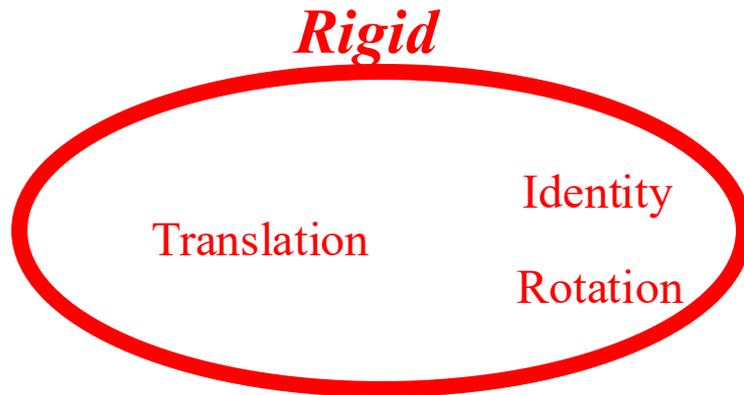
$$T(\mathbf{v}) = M\mathbf{v} + \mathbf{u}$$

---

# **Classes of Transformations**

# Rigid Transformations

- Preserve distances between all points.
  - $\|g(\mathbf{u}) - g(\mathbf{v})\| = \|\mathbf{u} - \mathbf{v}\|, \forall \mathbf{u}, \mathbf{v} \in \mathbb{R}^3$  ( $g$ : rigid transform map)
- Preserve "handedness".
  - $g(\mathbf{u}) \times g(\mathbf{v}) = g(\mathbf{u} \times \mathbf{v}), \forall \mathbf{u}, \mathbf{v} \in \mathbb{R}^3$
  - Reflections do not satisfy this property.

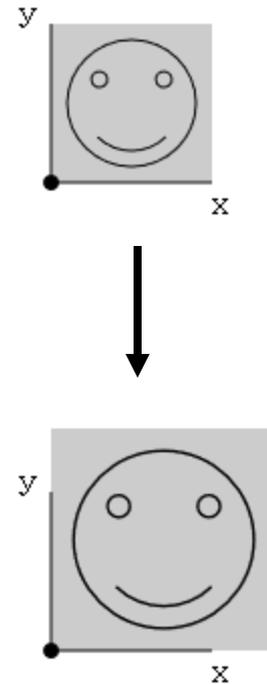
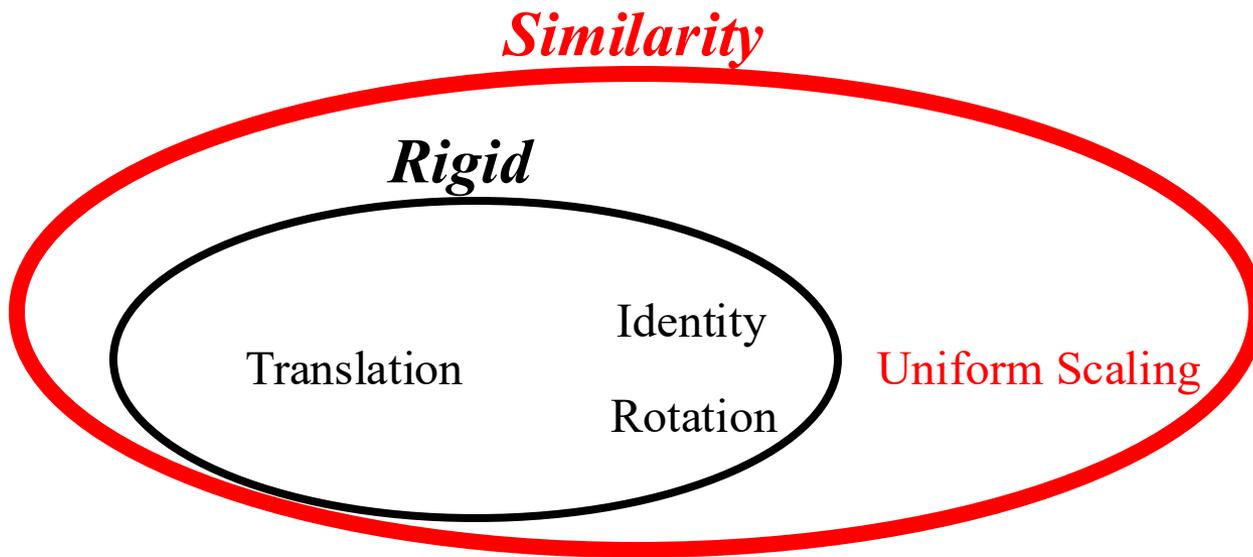


- Some people categorize reflection as a rigid transformation. We don't do that in this lecture.

\* The diagram is from the slides of Prof. Frédo Durand and Prof. Barbara Cutler (MIT):

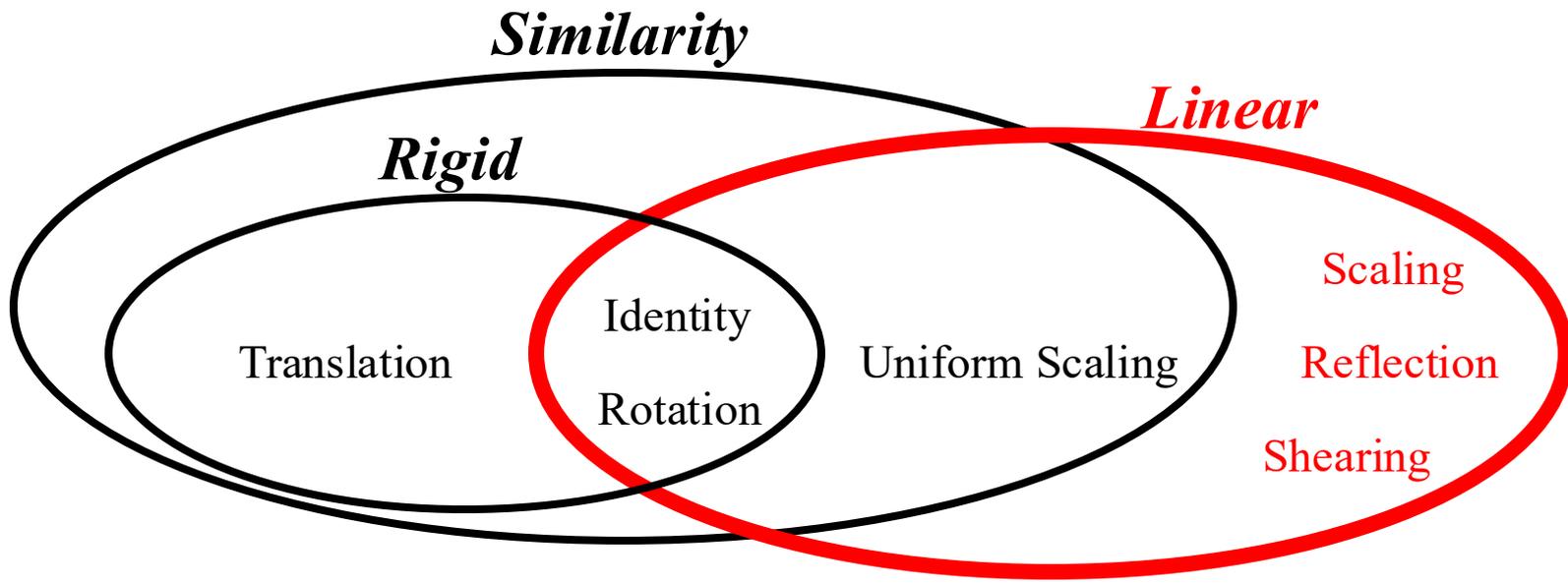
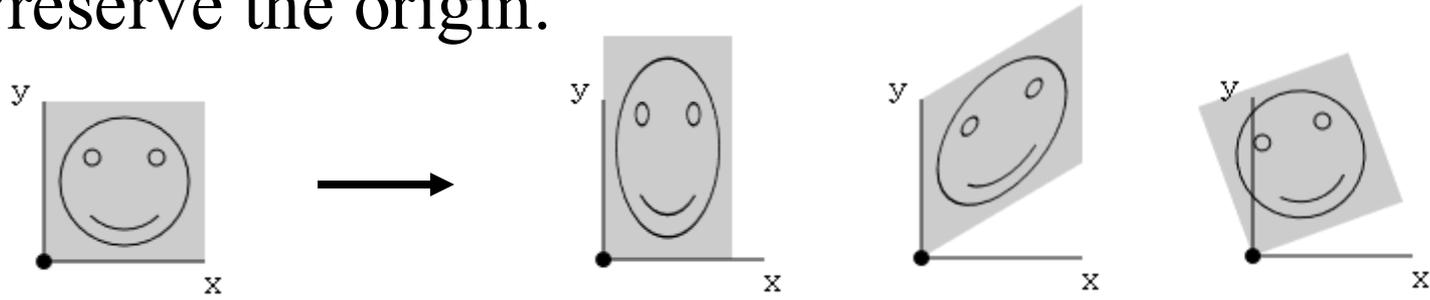
# Similarity Transformations

- Preserve angles.
- (This diagram indicates rigid transforms also preserve angles.)



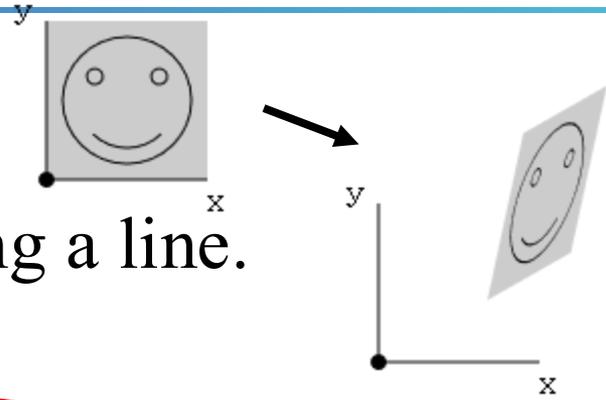
# Linear Transformations

- Preserve the origin.



# Affine Transformations

- Preserve parallel lines.
- Preserve ratios of distance along a line.



*Affine*

*Similarity*

*Linear*

*Rigid*

Translation

Identity

Rotation

Uniform Scaling

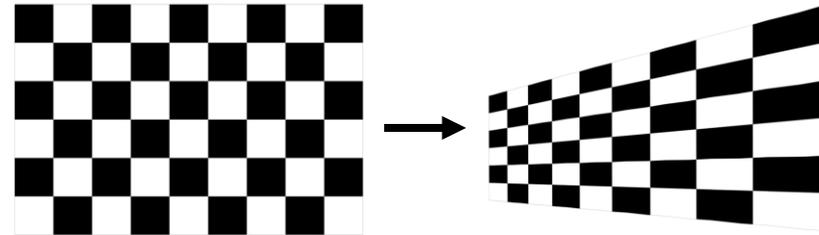
Scaling

Reflection

Shearing

# Projective Transformations

- Preserve lines.



*Projective*

*Affine*

*Similarity*

*Linear*

*Rigid*

Translation

Identity

Rotation

Uniform Scaling

Scaling

Reflection

Shearing

*Perspective*

---

# **Composition of Transformations & Homogeneous Coordinates**

# Composition of Transformations

- Move an object by T, then move it more by S:

$$\mathbf{p} \rightarrow T(\mathbf{p}) \rightarrow S(T(\mathbf{p})) = (S \circ T)(\mathbf{p})$$

- **Composing 2D linear transformations just works by 2x2 matrix multiplication:**

$$T(\mathbf{p}) = M_T \mathbf{p}; S(\mathbf{p}) = M_S \mathbf{p}$$

$$(S \circ T)(\mathbf{p}) = M_S M_T \mathbf{p} = (M_S M_T) \mathbf{p} = M_S (M_T \mathbf{p})$$

\* The equation images are from the slides of Prof. Steve Marschner (Cornell University):

<https://www.cs.cornell.edu/courses/cs4620/2018fa/>

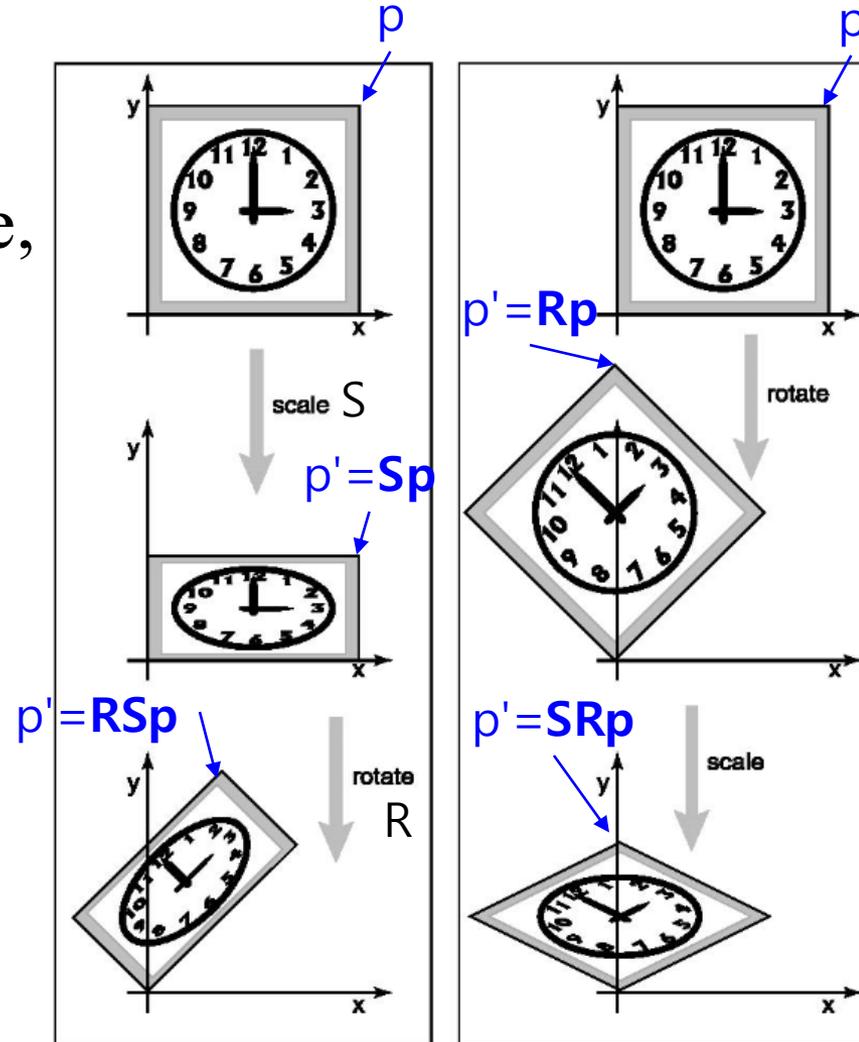
# Order Matters!

- Note that matrix multiplication is associative, but **not commutative**.

$$(AB)C = A(BC)$$

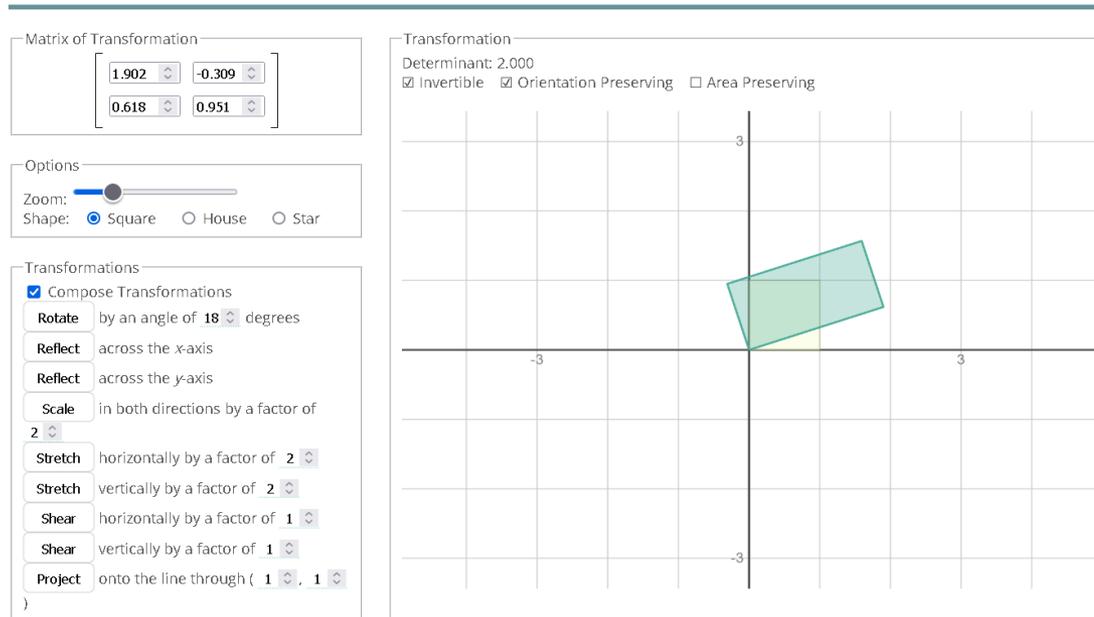
$$AB \neq BA$$

- As a result, the **order of transforms** is very important.



# [Demo] Composition of Linear Transformations

## Linear Transformations



<https://www.integral-domain.org/williams/Applets/algebra/linearTransformations.php>

- Reset the matrix to the identity matrix (by entering 1 0 0 1).
- Check 'Compose Transformations' button.
- Composites two transforms in different order.

# Problems when handling Translation as Vector Addition

- Cannot treat linear transformation (rotation, scale,...) and translation in a consistent manner.
- Composing affine transformations is complicated:

$$\begin{aligned} T(\mathbf{p}) &= M_T \mathbf{p} + \mathbf{u}_T & (S \circ T)(\mathbf{p}) &= M_S(M_T \mathbf{p} + \mathbf{u}_T) + \mathbf{u}_S \\ S(\mathbf{p}) &= M_S \mathbf{p} + \mathbf{u}_S & &= (M_S M_T) \mathbf{p} + (M_S \mathbf{u}_T + \mathbf{u}_S) \end{aligned}$$

- We need a cleaner way!
- **→ Homogeneous coordinates**

# Homogeneous Coordinates

- Key idea: Represent 2D points in 3D coordinate space.
- Extra component  $w$  for vectors, extra row/column for matrices.
  - For points, always  $w = 1$
  - 2D point  $[x, y]^T \rightarrow [x, y, 1]^T$ .
- 2D linear transformations are represented as:

$$\begin{bmatrix} a & b & 0 \\ c & d & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} ax + by \\ cx + dy \\ 1 \end{bmatrix}$$

# Homogeneous Coordinates

- 2D translations are represented as:

$$\begin{bmatrix} 1 & 0 & t \\ 0 & 1 & s \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} x + t \\ y + s \\ 1 \end{bmatrix}$$

- 2D affine transformations are represented as:

linear part

$$\begin{bmatrix} m_{11} & m_{12} & u_x \\ m_{21} & m_{22} & u_y \\ 0 & 0 & 1 \end{bmatrix}$$

translational part

# Homogeneous Coordinates

- **Composing affine transformations just works by 3x3 matrix multiplication.**

$$T(\mathbf{p}) = M_T \mathbf{p} + \mathbf{u}_T$$

$$S(\mathbf{p}) = M_S \mathbf{p} + \mathbf{u}_S$$



$$T(\mathbf{p}) = \begin{bmatrix} M_T^{2 \times 2} & \mathbf{u}_T^{2 \times 1} \\ 0 & 1 \end{bmatrix}$$

$$S(\mathbf{p}) = \begin{bmatrix} M_S^{2 \times 2} & \mathbf{u}_S^{2 \times 1} \\ 0 & 1 \end{bmatrix}$$

(in block matrix representation)

# Homogeneous Coordinates

- **Composing affine transformations just works by 3x3 matrix multiplication.**

$$(S \circ T)(\mathbf{p}) = \begin{bmatrix} M_S^{2 \times 2} & \mathbf{u}_S^{2 \times 1} \\ 0 & 1 \end{bmatrix} \begin{bmatrix} M_T^{2 \times 2} & \mathbf{u}_T^{2 \times 1} \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \mathbf{p}^{2 \times 1} \\ 1 \end{bmatrix} \\ = \begin{bmatrix} (M_S M_T) \mathbf{p} + (M_S \mathbf{u}_T + \mathbf{u}_S) \\ 1 \end{bmatrix}$$

- The result is the same, but much cleaner.
  - cf.  $(S \circ T)(\mathbf{p}) = M_S(M_T \mathbf{p} + \mathbf{u}_T) + \mathbf{u}_S$   
 $= (M_S M_T) \mathbf{p} + (M_S \mathbf{u}_T + \mathbf{u}_S)$

\* The equation images are from the slides of Prof. Steve Marschner (Cornell University):

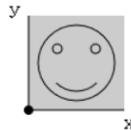
# [Demo] Composition of Affine Transformations in Homogeneous Coordinates

## Transformation demo

An interactive demo for experimenting with 2D transformation matrix composition.

+ Translate + Scale + Rotate + Shear Reset

$$T = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



<https://observablehq.com/@esperanc/transformation-demo>

- Add translation and linear transforms in various orders with '+' buttons.
- Drag the slider to see the matrix value change and the shape transform.
- **Note that the last transform added is the first applied transform.**

# Summary: Homogeneous Coordinates in 2D

---

- Use  $(x,y,1)^T$  instead of  $(x,y)^T$  for **2D points**
- Use **3x3 matrices** instead of 2x2 matrices for **2D linear transformations**
- Use **3x3 matrices** instead of vector additions for **2D translations**
  
- → We can treat linear transformations and translations **in a consistent manner!**

# Quiz 2

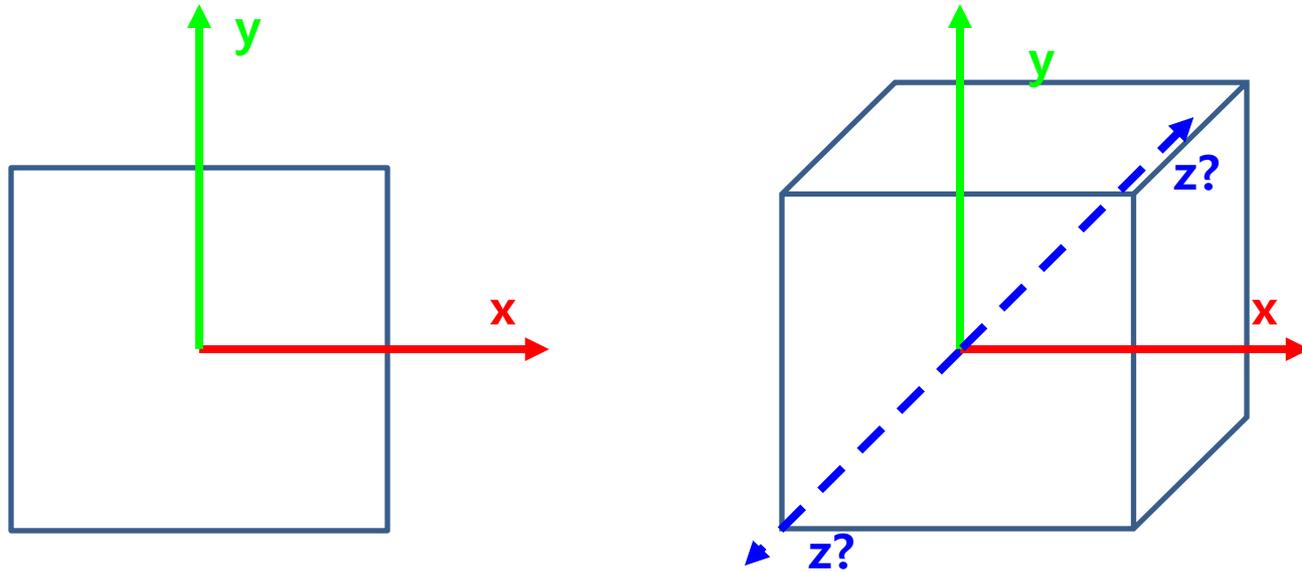
---

- Go to <https://www.slido.com/>
- Join #cg-ys
- Click "Polls"
  
- Submit your answer in the following format:
  - **Student ID: Your answer**
  - e.g. **2021123456: 4.0**
  
- Note that your quiz answer must be submitted **in the above format** to be counted as attendance.

---

# **Two Types of 3D Cartesian Coordinate System**

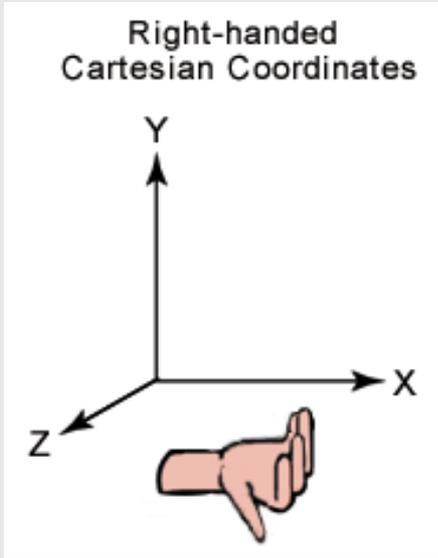
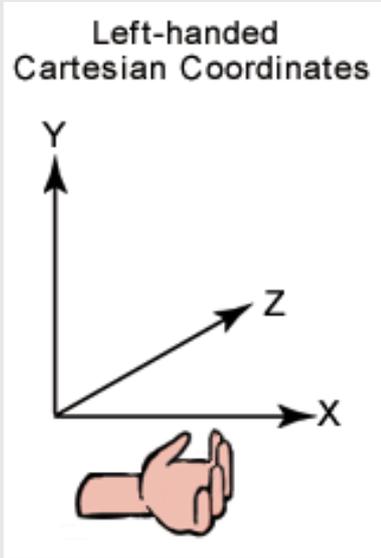
# Now, Let's go to the 3D world!



- Coordinate system (좌표계)
  - Cartesian coordinate system (직교좌표계)

# Right-Handed and Left-Handed Coordinate Systems

What we're using

|                                    |   |   |
|------------------------------------|---|---|
|                                    |   |    |
| <b>Positive rotation direction</b> | <b>counterclockwise</b> about the axis of rotation<br> | <b>clockwise</b> about the axis of rotation<br> |
| Used in...                         | <b>OpenGL</b> , Maya, Houdini, AutoCAD, ...<br>Standard for Physics & Math  | DirectX, Unity, Unreal, ...   |

---

# **3D Affine Transformations**

# Point Representations in Cartesian & Homogeneous Coordinate System

|  | Cartesian coordinate system                       | Homogeneous coordinate system                          |
|--|---|--|
| A <b>2D</b> point is represented as... | $\begin{bmatrix} p_x \\ p_y \end{bmatrix}$        | $\begin{bmatrix} p_x \\ p_y \\ 1 \end{bmatrix}$        |
| A <b>3D</b> point is represented as... | $\begin{bmatrix} p_x \\ p_y \\ p_z \end{bmatrix}$ | $\begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix}$ |

# Review of Linear Transformations in 2D

- Linear transformations in **2D** can be represented as matrix multiplication of ...

**2x2 matrix**  
(in Cartesian coordinates)

$$\begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix} \begin{bmatrix} p_x \\ p_y \end{bmatrix}$$

or

**3x3 matrix**  
(in homogeneous coordinates)

$$\begin{bmatrix} m_{11} & m_{12} & 0 \\ m_{21} & m_{22} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ 1 \end{bmatrix}$$

# Linear Transformations in 3D

- Linear transformations in **3D** can be represented as matrix multiplication of ...

**3x3 matrix**  
(in Cartesian coordinates)

$$\begin{bmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ m_{31} & m_{32} & m_{33} \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \end{bmatrix}$$

or

**4x4 matrix**  
(in homogeneous coordinates)

$$\begin{bmatrix} m_{11} & m_{12} & m_{13} & 0 \\ m_{21} & m_{22} & m_{23} & 0 \\ m_{31} & m_{32} & m_{33} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix}$$

# Linear Transformations in 3D

**Scale:**

$$\begin{array}{ccc} & \mathbf{3D} & \mathbf{3D-H} \\ \mathbf{S}_s = & \begin{bmatrix} \mathbf{S}_x & 0 & 0 \\ 0 & \mathbf{S}_y & 0 \\ 0 & 0 & \mathbf{S}_z \end{bmatrix} & \mathbf{S}_s = \begin{bmatrix} \mathbf{S}_x & 0 & 0 & 0 \\ 0 & \mathbf{S}_y & 0 & 0 \\ 0 & 0 & \mathbf{S}_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \end{array}$$

**Shear (in x, based on y,z position):**

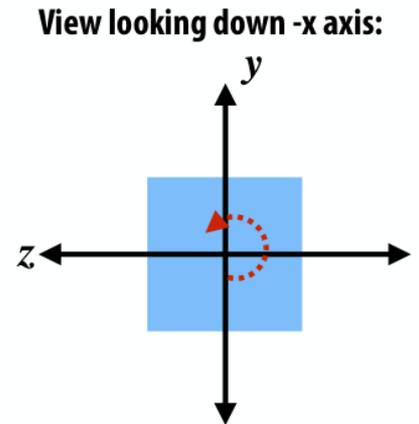
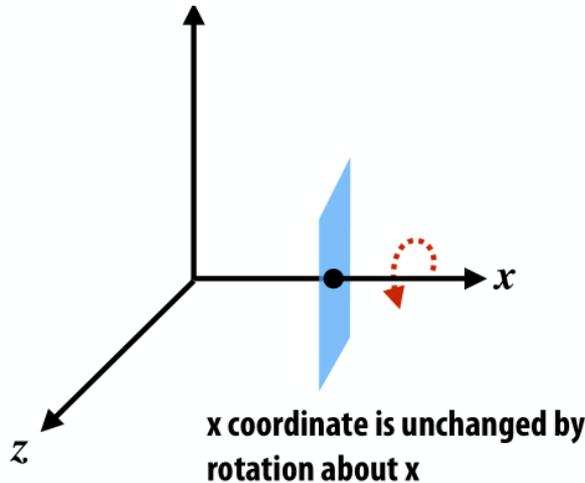
$$\mathbf{H}_{x,\mathbf{d}} = \begin{bmatrix} 1 & \mathbf{d}_y & \mathbf{d}_z \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \mathbf{H}_{x,\mathbf{d}} = \begin{bmatrix} 1 & \mathbf{d}_y & \mathbf{d}_z & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

\* This slide is from the slides of Prof. Kayvon Fatahalian and Prof. Keenan Crane (CMU):

# Linear Transformations in 3D

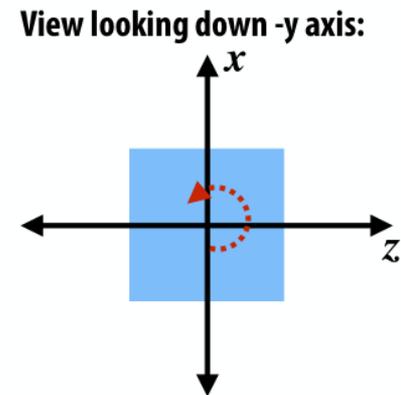
## Rotation about x axis:

$$\mathbf{R}_{x,\theta} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}$$



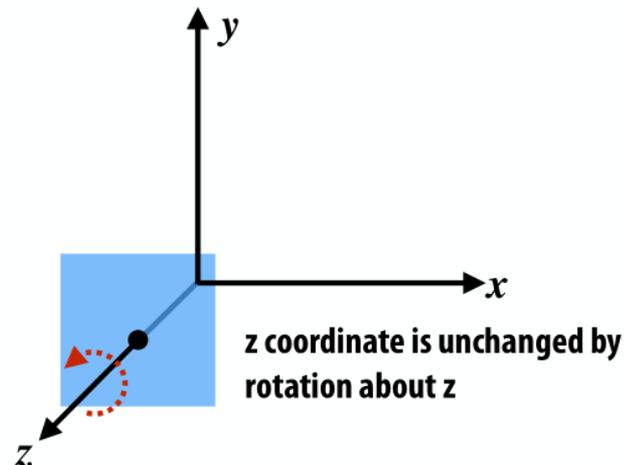
## Rotation about y axis:

$$\mathbf{R}_{y,\theta} = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}$$



## Rotation about z axis:

$$\mathbf{R}_{z,\theta} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



# Review of Translations in 2D

- Translations in **2D** can be represented as ...

## Vector addition

(in Cartesian coordinates)

$$\begin{bmatrix} p_x \\ p_y \end{bmatrix} + \begin{bmatrix} u_x \\ u_y \end{bmatrix}$$

Matrix multiplication of  
**3x3 matrix**

(in homogeneous coordinates)

$$\begin{bmatrix} 1 & 0 & u_x \\ 0 & 1 & u_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ 1 \end{bmatrix}$$

# Translations in 3D

- Translations in **3D** can be represented as ...

## Vector addition

(in Cartesian coordinates)

$$\begin{bmatrix} p_x \\ p_y \\ p_z \end{bmatrix} + \begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix}$$

## Matrix multiplication of 4x4 matrix

(in homogeneous coordinates)

$$\begin{bmatrix} 1 & 0 & 0 & u_x \\ 0 & 1 & 0 & u_y \\ 0 & 0 & 1 & u_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix}$$

# Review of Affine Transformations in 2D

- In homogeneous coordinates, **2D** affine transformations can be represented as multiplication of **3x3 matrix**:

$$\begin{matrix} \text{linear part} & \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \\ 0 & 0 \end{bmatrix} & \begin{bmatrix} u_x \\ u_y \\ 1 \end{bmatrix} & \text{translational part} \end{matrix}$$

# Affine Transformations in 3D

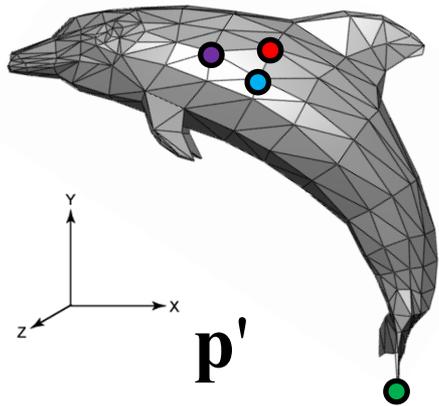
- In homogeneous coordinates, **3D** affine transformations can be represented as multiplication of **4x4 matrix**:

$$\begin{bmatrix} m_{11} & m_{12} & m_{13} & u_x \\ m_{21} & m_{22} & m_{23} & u_y \\ m_{31} & m_{32} & m_{33} & u_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

linear part

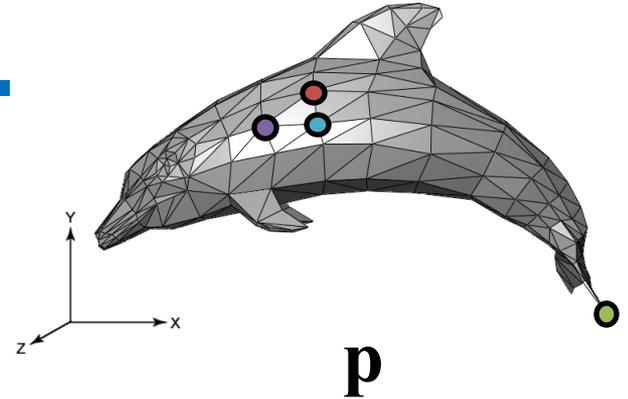
translational part

# Summary: Affine Transformation



Affine transformation

$$\mathbf{M} = \begin{bmatrix} m_{11} & m_{12} & m_{13} & u_1 \\ m_{21} & m_{22} & m_{23} & u_2 \\ m_{31} & m_{32} & m_{33} & u_3 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



$$\mathbf{p}'_1 \leftarrow \mathbf{M} \mathbf{p}_1$$

$$\mathbf{p}'_2 \leftarrow \mathbf{M} \mathbf{p}_2$$

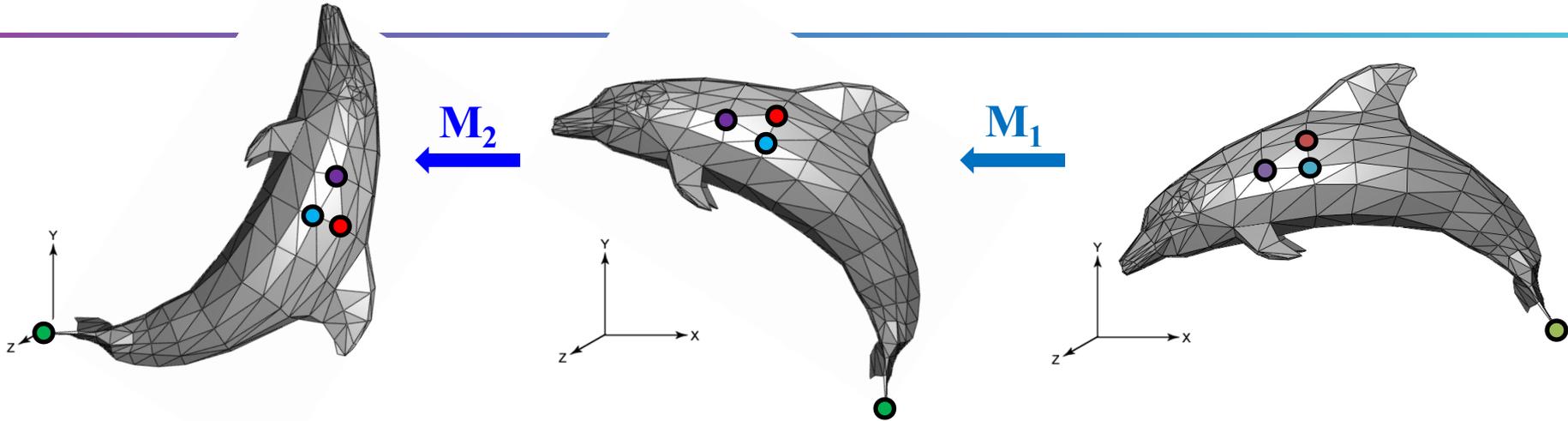
$$\mathbf{p}'_3 \leftarrow \mathbf{M} \mathbf{p}_3$$

$$\cdot \quad \cdot \quad \cdot$$

$$\cdot \quad \cdot \quad \cdot$$

$$\mathbf{p}'_N \leftarrow \mathbf{M} \mathbf{p}_N$$

# Summary: Composition of Affine Transformations



$$\begin{array}{lcl}
 \mathbf{p}_1' \leftarrow & \mathbf{M}_2 \mathbf{M}_1 & \mathbf{p}_1 \\
 \mathbf{p}_2' \leftarrow & \mathbf{M}_2 \mathbf{M}_1 & \mathbf{p}_2 \\
 \mathbf{p}_3' \leftarrow & \mathbf{M}_2 \mathbf{M}_1 & \mathbf{p}_3 \\
 \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot \\
 \mathbf{p}_N' \leftarrow & \mathbf{M}_2 \mathbf{M}_1 & \mathbf{p}_N
 \end{array}$$

# Lab Session

---

- Now, let's start the lab today.